



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**CHADA, Kiran et al.**

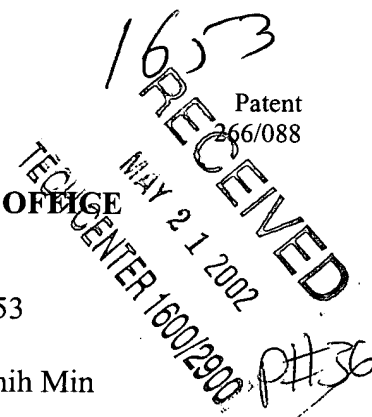
**Serial No.:** 08/852,666

**Filed:** May 7, 1997

**For:** HMGI PROTEINS IN CANCER AND  
OBESITY

)  
) **Group Art Unit:** 1653  
)

) **Examiner:** KAM, Chih Min  
)  
)  
)  
)  
)  
)  
)  
)  
)  
)



**TRANSMITTAL**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant submits the following documents with this Transmittal Letter.

- (1) Information Disclosure Statement;
- (2) Form PTO-1449;
- (3) References AA through DY; and

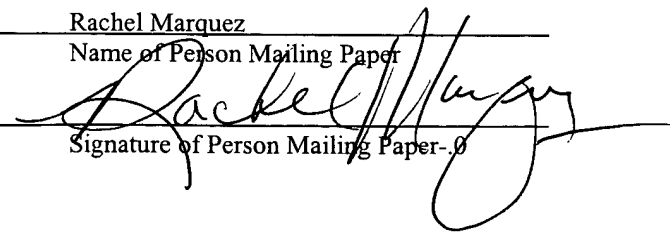
LA-236456.1

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 14, 2002  
Date of Deposit

Rachel Marquez  
Name of Person Mailing Paper

  
Signature of Person Mailing Paper-0

(4) Postcard

The Commissioner is authorized to charge any fees required by the filing of these papers to Lyon & Lyon's Deposit Account No. 12-2475.

Respectfully submitted,  
LYON & LYON LLP

Dated: 5/14/02

By: *Sandra S. Fujiyama*  
Sandra S. Fujiyama  
Reg. No. 34,047



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
633 W. Fifth Street, Suite 4700  
Los Angeles, CA 90071  
Phone: (213) 489-1600  
Fax: (213) 955-0440



Patent  
266/088

RECEIVED  
MAY 21 2002  
TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**CHADA, Kiran et al.**

**Serial No.:** 08/852,666

**Filed:** May 7, 1997

**For:** HMGI PROTEINS IN CANCER AND  
OBESITY

)  
) **Group Art Unit:** 1653

)  
) **Examiner:** KAM, Chih Min

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 14, 2002  
Date of Deposit

Rachel Marquez  
Name of Person Mailing Paper

*Rachel Marquez*  
Signature of Person Mailing Paper LA-236451

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

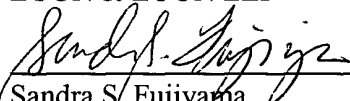
☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☒ The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,  
LYON & LYON LLP

Dated: 05/14/2002

By:

  
Sandra S. Fujiyama  
Reg. No. 46,713



22249

LYON & LYON LLP  
633 W. Fifth Street,  
Suite 4700  
Los Angeles, CA  
90071